Issue: Compliance – Grievance Procedure (5-Day Rule); Ruling Date: March 5, 2010; Ruling #2010-2561; Agency: Department of Juvenile Justice; Outcome: Grievant Not In Compliance.

March 5 2010 Ruling #2010-2561 Page 2



## **COMMONWEALTH of VIRGINIA** Department of Employment Dispute Resolution

## **COMPLIANCE RULING OF DIRECTOR**

In the matter of Department of Juvenile Justice Ruling No. 2010-2561 March 5, 2010

The Department of Juvenile Justice (DJJ or the agency) seeks to administratively close the grievant's September 22, 2009 grievance. The agency alleges that the grievant has failed to comply with the time limits set forth in the grievance procedure for advancing or concluding her grievance.

## FACTS

In her September 22, 2009 grievance, the grievant challenges alleged harassment by a supervisor. The second step respondent replied to the grievance on or about December 11, 2009. According to the agency, the grievant never advanced her grievance. Because of the grievant's purported failure to advance her grievance, the agency apparently sent the grievant, on or about February 2, 2010, a notice of noncompliance by regular and certified mail. The U.S. Postal Service returned the copy sent by certified mail as "unclaimed" on February 18, 2010.

## DISCUSSION

The grievance procedure requires both parties to address procedural noncompliance through a specific process.<sup>1</sup> That process assures that the parties first communicate with each other about the noncompliance, and resolve any compliance problems voluntarily, without this Department's (EDR's) involvement. Specifically, the party claiming noncompliance must notify the other party in writing and allow five workdays for the opposing party to correct any noncompliance.<sup>2</sup> If the opposing party fails to correct the noncompliance within this five-day period, the party claiming noncompliance must notify from the EDR Director, who may in turn order the party to correct the noncompliance or, in cases of substantial noncompliance, render a decision against the noncomplying party on any qualifiable issue. When an EDR ruling finds that either party to a grievance is in noncompliance, the ruling will (i)

<sup>&</sup>lt;sup>1</sup> Grievance Procedure Manual § 6.3.

March 5 2010 Ruling #2010-2561 Page 2

order the noncomplying party to correct its noncompliance within a specified time period, and (ii) provide that if the noncompliance is not timely corrected, a decision in favor of the other party will be rendered on any qualifiable issue, unless the noncomplying party can show just cause for the delay in conforming to EDR's order.<sup>3</sup>

As the grievant has apparently failed to advance or conclude her grievance in a timely manner, she appears to have failed to comply with the grievance procedure. This Department therefore orders the grievant to correct this noncompliance **within ten work days of the date of this ruling** by notifying the agency's human resources office in writing that she wishes either to conclude the grievance or advance the grievance to the third resolution step.<sup>4</sup> If she does not, the agency may administratively close the grievance without any further action on its part. The grievance may be reopened only upon a timely showing by the grievant of just cause for the delay (for example, a serious illness, or other circumstances beyond the grievant's control).

This Department's rulings on matters of compliance are final and nonappealable.<sup>5</sup>

Claudia T. Farr Director

<sup>&</sup>lt;sup>3</sup> While in cases of substantial noncompliance with procedural rules the grievance statutes grant the EDR Director the authority to render a decision on a qualifiable issue against a noncompliant party, this Department favors having grievances decided on the merits rather than procedural violations. Thus, the EDR Director will *typically* order noncompliance corrected before rendering a decision against a noncompliant party. However, where a party's noncompliance appears driven by bad faith or a gross disregard of the grievance procedure, this Department will exercise its authority to rule against the party without first ordering the noncompliance to be corrected.

<sup>&</sup>lt;sup>4</sup> See Grievance Procedure Manual § 3.2.

<sup>&</sup>lt;sup>5</sup> See Va. Code § 2.2-1001(5); 2.2-3003(G).